

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled LIGHT FIXTURE.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby claim the benefit under Title 35 United States Code Section 120 of any United States application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby appoint William Patrick Waters, Reg. No. 31,899, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. All correspondence and telephone communications should be directed to:

WILLIAM PATRICK WATERS  
PO BOX 8  
San Marcos, CA 92079-0008  
(760) 510-1964

See paper #9

BH 5-5-99



I hereby declare that all statements made herein of my own knowledge are true and that all statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 5/5/99  
Citizenship: US

Inventor:  
Name:  
Address:

William A. Hein  
William A. Hein  
607 6th Street  
Manhattan Beach, CA 90266

Date:  
Citizenship: US

Inventor:  
Name:  
Address:

Henry M. Avila  
Henry M. Avila  
5545 East Avenue, T-8  
Palmdale, CA 93552

Date:  
Citizenship: US

Inventor:  
Name:  
Address:

Peter C. Sara  
Peter C. Sara  
3525 Buena Creek Road  
Vista, CA 92084

**DECLARATION FOR PATENT APPLICATION**

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My residence, post office address and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **LIGHT FIXTURE**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Date:	Inventor:	
Citizenship: US	Name:	William A. Hein
	Address:	607 6th Street Manhattan Beach, CA 90266
Date: 4/28/99	Inventor:	<u>Henry M. Avila</u>
Citizenship: US	Name:	Henry M. Avila
	Address:	5545 East Avenue, T-8 Palmdale, CA 93552
Date: 6/10/99	Inventor:	<u>Peter C. Sara</u>
Citizenship: US	Name:	Peter C. Sara
	Address:	3525 Buena Creek Road Vista, CA 92084



Applicant: William A. Hein et al.  
Title: LIGHT FIXTURE

Attorney's Docket No. 361-2  
Serial No. 09/251,306 Filed: 02/17/99

VERIFIED STATEMENT CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b))--SMALL BUSINESS CONCERN

I, Peter C. Sara, hereby declare: that I am the President of Allied Lighting, a Division of Allies Universal Suppliers, Inc. a corporation of the State of California, having a principal place of business at 16776 Bernardo Center Drive, Suite 110B, San Diego, CA 92128; that I am empowered to act on behalf of Allied Lighting and Allied Universal Suppliers, Inc.; and that said corporation qualifies as a small business concern as defined in 13 CFR Section 121.3-18 and 37 CFR Section 1.9(d), for purposes of paying reduced fees to the Patent and Trademark Office under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of said corporation, including those of its affiliates, does not exceed 500 persons. I understand that, for purposes of this declaration, (1) the number of employees is the average over the previous fiscal year of the number of persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and that (2) two business concerns are considered to be affiliates of each other when one business concern either directly or indirectly controls or has the power to control the other, or when a third party or parties control or have the power to control both business concerns.

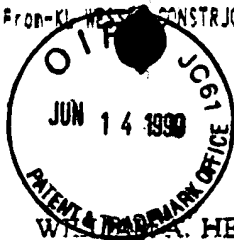
I further declare that all rights, title, and interest relating to the invention entitled LIGHT FIXTURE invented by William A. Hein, et al. as described in the above identified patent application have been conveyed to and currently remain with said corporation.

I acknowledge the duty to file, in the above-mentioned application or any patent issued in respect thereof, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of (1) the issue fee or (2) any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

ALLIED UNIVERSAL SUPPLIERS, INC.

By: Peter C. Sara, President Date: 4-28-99



Applicant: WILLIAM A. HEIN et al.

Title: LIGHT FIXTURE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention titled LIGHT FIXTURE described in the specification identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

Allied Lighting, a division of Allied Universal Suppliers, Inc.  
16776 Bernardo Center Drive, Su 110B  
San Diego, CA 92128

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signature of Inventor  
Name of Inventor: William A. Hein

Date

*Henry M. Avila*  
Signature of Inventor  
Name of Inventor: Henry M. Avila

Date 4-28-99

*Peter C. Sara*  
Signature of Inventor  
Name of Inventor: Peter C. Sara

Date 6/10/99



Applicants: William A. Hein et al  
Title: LIGHT FIXTURE

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STATUS (37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR**

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I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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William Hein  
Signature of Inventor  
Name of Inventor: William A. Hein

Date 5-5-99